PATENT Attorney Docket No.3218.2A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Group Art Unit:

STATEMENT

INFORMATION DISCLOSURE

Applicant: Xing Su et al.

Serial No:

Filing Date: Herewith

Title: COMPLEXITY MANAGEMENT AND ANALYSIS OF GENOMIC DNA

Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application.

Citation of these documents shall not be construed as (1) an admission that the document are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 01-0431. Please deduct any additional fees from, or credit any overpayment to the above-noted Deposit Account.

Copies of the documents are not enclosed, but can be found in the prior application, serial number 09/428,350. Should the Examiner wish us to send copies of any or all of the listed documents, please let the undersigned attorney know.

Dated: July 12, 2001 Respectfully submitted,

Wei Zhou

Reg. No. 44,419

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Sheet _1_ of _1



ATTY. DOCKET NO.: 3218.2A

SERIAL NUMBER:

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

PTO-1449 (Modified)

APPLICANT: Dong et al.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

FILING DATE:

GROUP ART UNIT: 0000

U.S. PATENT DOCUMENTS

			5. FATENT DOCUMENTS			<u> -10</u>
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	4,683,202	7/28/87	Mullis			
	4,683,195	7/28/87	Mullis et al.			
	4,800,159	1/24/89	Mullis et al			
1	5,487,985	1/30/96	McClelland et al.			
	6,013,445	1/11/00	Albrecht et al.			
	6,001,574	12/14/99	Short et al			
	5,763,239	6/9/98	Short et al			
	5,876,929	3/2/99	Wigler, et al		v -	
:	5,712,127	1/27/98	Malek et al			
	5,858,656	1/12/99	Deugau, et al			
	5,512,439	4/30/96	Hornes, et al.			
	5,710,000	1/20/98	Sapolsky et al			
	5,851,770	12/22/98	Babon et al.			••
	6,033,861	3/7/00	Schafer et al.			
	6,027,877	2/22/00	Wagner et al.			
	6,027,945	2/22/00	Smith et al.			
	6004783	12/21/99	Ausubel et al.			
	6060240	5/9/00	Kamb et al.			
	5,837,832	11/98	Chee et al.			
	6060245	5/9/00	Sorge et al.	İ		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS TION YES/N	N
	WO99/43853	9/2/99	PCT				
	WO93/22457	11/93	wo				

 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
"Fingerprinting Methods Based on Arbitrarily Primed PCR" M.R. Micheli and R. Borg Eds (1997) Springer Lab Manual, Springer-Verlag Berlin Heidelberg Pubs. see especially Chapter 7 R. Arribas et al. "Arbitrarily Primed PCR and RAPDS."
Chee et al., "Accessing Genetic Information with High-Density DNA Arrays," Science, 1996, Vol. 274 pp610-614
Wagner R. and Radman, M. (1995). Mismatch binding protein-based mutation detection systems. In "Methods: a Companion to Methods in Enzymology" 7, 199-203

EXAMINER	DATE CONSIDERED			
EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.				